



Food and Drug Administration  
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May 22, 2015

ABBOTT LABORATORIES  
JUDITH WALLACH  
DIAGNOSTICS DIVISION, DEPT. 09V6 AP8  
100 ABBOTT PARK ROAD,  
ABBOTT PARK IL 60064

Re: K140654  
Trade/Device Name: Hemoglobin A1c Assay,  
Hemoglobin A1c Calibrators,  
Hemoglobin A1c Controls  
Regulation Number: 21 CFR 862.1373  
Regulation Name: Glycosylated hemoglobin assay  
Regulatory Class: II  
Product Code: PDJ, LCP, JIT, JJX  
Dated: May 4, 2015  
Received: May 5, 2015

Dear Ms. Judith Wallach:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Parts 801 and 809); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the

electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulations (21 CFR Parts 801 and 809), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638 2041 or (301) 796-7100 or at its Internet address <http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>. Also, please note the regulation entitled, “Misbranding by reference to premarket notification” (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm> for the CDRH’s Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address <http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>.

Sincerely yours,

**Courtney H. Lias -S**

Courtney H. Lias, Ph.D.  
Director  
Division of Chemistry and Toxicology Devices  
Office of In Vitro Diagnostics  
and Radiological Health  
Center for Devices and Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known)

k140654

Device Name

Hemoglobin A1c Assay

Hemoglobin A1c Calibrators

Hemoglobin A1c Controls

Indications for Use (Describe)

The Hemoglobin A1c assay is used in clinical laboratories for the quantitative in vitro measurement of percent hemoglobin A1c (NGSP) or HbA1c fraction mmol/mol (IFCC) in human whole blood and hemolysate on the ARCHITECT c 4000 System.

Hemoglobin A1c measurements are used as an aid in the diagnosis of diabetes mellitus, as an aid to identify patients who may be at risk for developing diabetes mellitus, and for the monitoring of long-term blood glucose control in individuals with diabetes mellitus.

The Hemoglobin A1c Calibrators are for use in the calibration of the Hemoglobin A1c assay on the ARCHITECT c 4000 System.

The Hemoglobin A1c Controls are used for the estimation of test precision and the detection of systematic analytical deviations of the Hemoglobin A1c assay on the ARCHITECT c 4000 System.

Type of Use (Select one or both, as applicable)

☒ Prescription Use (Part 21 CFR 801 Subpart D)

☐ Over-The-Counter Use (21 CFR 801 Subpart C)

### CONTINUE ON A SEPARATE PAGE IF NEEDED.

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## Section 5: 510(k) Summary

This summary of the 510(k) safety and effectiveness information is being submitted in accordance with the requirements of SMDA 1990 and 21 CFR 807.92.

### 1. Applicant Name

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Date Summary prepared: March 13, 2014 Revised May 4, 2015

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### 2. Device Name

Hemoglobin A1c Assay  
Hemoglobin A1c Calibrators  
Hemoglobin A1c Controls

#### Reagents

Classification Name: Assay, Glycosylated Hemoglobin  
Regulation Description: Glycosylated hemoglobin assay  
Trade Name: Hemoglobin A1c  
Common Name: HbA1c  
Governing Regulation: 862.1373  
Device Classification: Class II  
Product Code: PDJ, LCP  
Panel Classification: Chemistry

### Calibrators

Classification Name: Calibrator, Secondary  
Regulation Description: Calibrator  
Trade Name: Hemoglobin A1c Calibrators (1 and 2)  
Common Name: Calibrator  
Governing Regulation: 862.1150  
Device Classification: Class II  
Product Code: JIT  
Panel Classification: Clinical Chemistry

### Controls

Classification Name: Single (Specified) Analyte Controls (assayed and unassayed)  
Regulation Description: Quality control material (assayed and unassayed)  
Trade Name: Hemoglobin A1c Controls (Low and High)  
Common Name: Control  
Governing Regulation: 862.1660  
Device Classification: Class II  
Product Code: JJX  
Panel Classification: Clinical Chemistry

## **3. Predicate Device**

ARCHITECT Hemoglobin A1c Reagents, Calibrators, and Controls (k130255)

**Note:** The candidate device consists of the same reagents as the predicate device, and utilizes the same calibrators and controls. The candidate device is intended for use on the ARCHITECT *c* 4000 System. The predicate device (k130255) was cleared for use on the ARCHITECT *c* 8000 System.

## **4. Description of Device**

### Reagents Description

The Hemoglobin A1c Reagent Kit contains:

Component	Number of Bottles x Volume
Reagent 1 (R1)	1 x 52 mL
Reagent 2 (R2)	1 x 20 mL
Diluent (A1cDIL)	2 x 35 mL

Estimated tests per kit: 300

Calculation is based on the minimum fill volume per kit.

Reagent	Reactive Ingredients	Concentration
Reagent 1	10-(carboxymethylaminocarbonyl)-3,7-bis(dimethylamino)phenothiazine sodium salt	0.000817%
	Protease (Bacterial)	< 1 mU/dL
Reagent 2	Peroxidase (Horseradish)	5 to 15 kU/dL
	Fructosyl-peptide-oxidase ( <i>E. coli</i> , recombinant)	300 to 900 U/dL
Diluent	Sodium nitrite	> 0.05 to < 0.3%

Inactive Ingredients: Reagent 1 contains sodium azide as a stabilizer and preservative. Reagent 1 and Diluent contain ProClin 300 as a preservative. Reagent 2 contains ofloxacin as a preservative.

### Calibrators

The Hemoglobin A1c Calibrator Kit contains:

Component	Number of Bottles x Volume
Calibrator 1 (Cal 1)	1 x 1.6 mL*
Calibrator 2 (Cal 2)	1 x 1.6 mL*

\* Volume after reconstitution

- A1c Calibrators (lyophilized) contain hemoglobin and glycated hemoglobin from human whole blood. Prior to lyophilization, the calibrator matrix is an MES-buffered solution. Preservative: Ofloxacin.
- The value-assigned A1c Calibrator values are within the following hemoglobin A1c ranges:

	Calibrator 1	Calibrator 2
Hemoglobin A1c Ranges	4.59% to 6.02% HbA1c	10.52% to 13.37% HbA1c

- Actual analyte concentrations for each lot of calibrators are listed in the Hemoglobin A1c Calibrator Value Sheet, packaged with the calibrator.
- Each lot of calibrators is value-assigned. The concentration of glycated hemoglobin (HbA1c) and total hemoglobin (THb) is provided for each lot. Calibrators are prepared gravimetrically, lyophilized, and then value assigned using secondary calibrators that are traceable to the International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) reference method.

## Controls

The Hemoglobin A1c Control Kit contains:

<b>Component</b>	<b>Number of Bottles x Volume</b>
Low Control (Control L)	1 x 1 mL*
High Control (Control H)	1 x 1 mL*

\* Volume after reconstitution

- A1c Controls (lyophilized) contain hemoglobin and glycated hemoglobin from human whole blood. Prior to lyophilization, the control matrix is an MES-buffered solution. Preservative: Ofloxacin.
- The value-assigned A1c Control values are within the following hemoglobin A1c ranges:

	<b>Low Control</b>	<b>High Control</b>
Hemoglobin A1c Ranges	4.59% to 6.02% HbA1c	9.42% to 11.07% HbA1c

- Actual analyte concentration ranges for each lot of controls is listed in the Hemoglobin A1c Control Value Sheet, packaged with the controls.
- Each lot of controls is value-assigned. The glycated hemoglobin value in National Glycohemoglobin Standardization Program (NGSP) units (%HbA1c) and in International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) units (mmol/mol HbA1c) are provided for each lot. Controls are prepared gravimetrically, lyophilized, and then value assigned using secondary calibrators that are traceable to the IFCC reference method.

## Principles of the Procedure

The Hemoglobin A1c assay consists of two separate concentration measurements: glycated hemoglobin (HbA1c) and total hemoglobin (THb). The two concentrations are used to determine the percent HbA1c (NGSP units) or the hemoglobin fraction in mmol/mol (IFCC units).

The individual concentration values of HbA1c and THb generated by the Hemoglobin A1c assay are used only for calculating the percent hemoglobin A1c or HbA1c fraction, and must not be used individually for diagnostic purposes.

The anticoagulated whole blood specimen is lysed automatically on the system for the Whole Blood application or may be lysed manually using the Hemoglobin A1c Diluent (A1cDIL) for the Hemolysate application.

### *Glycated Hemoglobin (HbA1c)*

The Hemoglobin A1c assay utilizes an enzymatic method that specifically measures *N*-terminal fructosyl dipeptides of the  $\beta$ -chain of HbA1c.

- In the pretreatment process, the erythrocytes are lysed and the hemoglobin is transformed to methemoglobin by reaction with sodium nitrite.
- With the addition of Reagent 1 (R1) to the sample, the glycosylated *N*-terminal dipeptide (fructosyl-VH) of the  $\beta$ -chain of hemoglobin is cleaved by the action of protease. The hemoglobin is transformed to stable methemoglobin azide by the action of sodium azide and the concentration of the hemoglobin is determined by measuring absorbance.
- Addition of Reagent 2 (R2) starts a reaction and fructosyl peptide oxidase (FPOX) is allowed to react with fructosyl-VH. The HbA1c concentration is measured by determining the resultant hydrogen peroxide.

### *Total Hemoglobin (THb)*

The hemoglobin is oxidized to stable methemoglobin azide by the action of sodium nitrite and sodium azide and the concentration of the hemoglobin is determined by measuring absorbance (sample + R1).

### *Hemoglobin A1c Calculations*

The final result is expressed as %HbA1c (NGSP) or mmol/mol HbA1c (IFCC) and is automatically calculated by the system from the HbA1c/THb ratio as follows:

#### **mmol/mol HbA<sub>1c</sub> IFCC:**

$$\text{HbA1c (mmol/mol)} = (\text{HbA1c/THb}) \times 1000$$

#### **%HbA<sub>1c</sub> DCCT/NGSP:**

$$\text{HbA1c (\%)} = \text{IFCC} \times 0.09148 + 2.152$$

*Methodology:* Enzymatic



## **5. Intended Use of Device**

The Hemoglobin A1c assay is used in clinical laboratories for the quantitative *in vitro* measurement of percent hemoglobin A1c (NGSP) or HbA1c fraction mmol/mol (IFCC) in human whole blood and hemolysate on the ARCHITECT *c* 4000 System.

Hemoglobin A1c measurements are used as an aid in the diagnosis of diabetes mellitus, as an aid to identify patients who may be at risk for developing diabetes mellitus, and for the monitoring of long-term blood glucose control in individuals with diabetes mellitus.

The Hemoglobin A1c Calibrators are for use in the calibration of the Hemoglobin A1c assay on the ARCHITECT *c* 4000 System.

The Hemoglobin A1c Controls are used for the estimation of test precision and the detection of systematic analytical deviations of the Hemoglobin A1c assay on the ARCHITECT *c* 4000 System.

## **6. Comparison of Technological Characteristics**

The candidate device, Hemoglobin A1c, and the predicate device, Hemoglobin A1c (k130255) utilize an enzymatic methodology for the quantitative *in vitro* measurement of percent hemoglobin A1c or HbA1c fraction. The candidate device consists of the same reagents as the predicate device, and utilizes the same calibrators and controls. The candidate device is intended for use on the ARCHITECT *c* 4000 System. The predicate device (k130255) was cleared for use on the ARCHITECT *c* 8000 System.

The following tables provide the similarities and differences between the candidate device and the predicate device.

<b>Reagents</b> <b>Similarities and Differences</b>		
<b>Characteristics</b>	<b>Candidate Device Hemoglobin A1c</b>	<b>Predicate Device Hemoglobin A1c (k130255)</b>
Intended Use and Indications for Use	For the quantitative <i>in vitro</i> measurement of percent hemoglobin A1c (NGSP) or HbA1c fraction mmol/mol (IFCC) in human whole blood and hemolysate on the ARCHITECT <i>c</i> <b>4000</b> System. Hemoglobin A1c measurements are used as an aid in the diagnosis of diabetes mellitus, as an aid to identify patients who may be at risk for developing diabetes mellitus, and for the monitoring of long-term blood glucose control in individuals with diabetes mellitus.	For the quantitative <i>in vitro</i> measurement of percent hemoglobin A1c (NGSP) or HbA1c fraction mmol/mol (IFCC) in human whole blood and hemolysate on the ARCHITECT <i>c</i> 8000 Systems. Hemoglobin A1c measurements are used as an aid in the diagnosis of diabetes mellitus, as an aid to identify patients who may be at risk for developing diabetes mellitus, and for the monitoring of long-term blood glucose control in individuals with diabetes mellitus.
Platform	ARCHITECT <i>c</i> <b>4000</b> System (clinical chemistry analyzer)	ARCHITECT <i>c</i> 8000 System (clinical chemistry analyzer)
Methodology	Same as predicate device.	Enzymatic
Specimen Type	Same as predicate device.	<u>Whole blood and Hemolysate:</u> Dipotassium EDTA Lithium Heparin Sodium Heparin Sodium Fluoride/Disodium EDTA Tripotassium EDTA

Reagents Similarities and Differences										
Characteristics	Candidate Device Hemoglobin A1c	Predicate Device Hemoglobin A1c (k130255)								
Expected Values	Same as predicate device.	For monitoring diabetic patients, it is recommended that glycemic goals are individualized following current professional society recommendations. The American Diabetes Association (ADA) recommendations are summarized in the following table.								
		<table><tr><th>HbA1c Value</th><th>Glycemic Goal</th></tr><tr><td>&lt; 8 %HbA1c (64 mmol/mol)</td><td>Less Stringent</td></tr><tr><td>&lt; 7 %HbA1c (53 mmol/mol)</td><td>General (Non-Pregnant Adults)</td></tr><tr><td>&lt; 6.5 %HbA1c (48 mmol/mol)</td><td>More stringent</td></tr></table>	HbA1c Value	Glycemic Goal	< 8 %HbA1c (64 mmol/mol)	Less Stringent	< 7 %HbA1c (53 mmol/mol)	General (Non-Pregnant Adults)	< 6.5 %HbA1c (48 mmol/mol)	More stringent
		HbA1c Value	Glycemic Goal							
		< 8 %HbA1c (64 mmol/mol)	Less Stringent							
		< 7 %HbA1c (53 mmol/mol)	General (Non-Pregnant Adults)							
< 6.5 %HbA1c (48 mmol/mol)	More stringent									
HbA1c values above 6.5 %HbA1c (48 mmol/mol) are an indication of hyperglycemia during the preceding 2 to 3 months or longer. According to the recommendations of the ADA, HbA1c values above 6.5 %HbA1c (48 mmol/mol) are suitable for the diagnosis of diabetes mellitus. Patients with HbA1c values in the range of 5.7 - 6.4 %HbA1c (39 - 46 mmol/mol) may be at a risk of developing diabetes.										
Measuring Interval	Same as predicate device.	4.0 to 14.0 %HbA1c (DCCT/NGSP)  20.22 to 129.51 mmol/mol HbA1c (IFCC)								

<b>Calibrators</b> <b>Similarities and Differences</b>		
<b>Characteristics</b>	<b>Candidate Device Hemoglobin A1c</b>	<b>Predicate Device Hemoglobin A1c (k130255)</b>
Intended use	For use in the calibration of the Hemoglobin A1c assay on the ARCHITECT <i>c</i> 4000 System.	For use in the calibration of the Hemoglobin A1c assay on the ARCHITECT <i>c</i> 8000 System.
Platform	ARCHITECT <i>c</i> 4000 System (clinical chemistry analyzer)	ARCHITECT <i>c</i> 8000 System (clinical chemistry analyzer)
Levels	Same as predicate device.	2 levels (Calibrator 1 and 2) Each lot of calibrators is value-assigned and values are reported in both NGSP and IFCC units. Actual analyte concentrations for each lot of calibrators are listed in the Hemoglobin A1c Calibrator Value Sheet, packaged with the calibrator. The concentration of glycated hemoglobin (HbA1c) and total hemoglobin (THb) is provided for each lot.
Standardization/ Traceability	Same as predicate device.	Calibrators are prepared gravimetrically, lyophilized, and then value assigned using secondary calibrators that are traceable to the International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) reference method.

<b>Controls</b> <b>Similarities and Differences</b>		
<b>Characteristics</b>	<b>Candidate Device Hemoglobin A1c</b>	<b>Predicate Device Hemoglobin A1c (k130255)</b>
Intended use	For the estimation of test precision and the detection of systematic analytical deviations of the Hemoglobin A1c assay on the ARCHITECT <i>c</i> 4000 System.	For the estimation of test precision and the detection of systematic analytical deviations of the Hemoglobin A1c assay on the ARCHITECT <i>c</i> 8000 System.
Platform	ARCHITECT <i>c</i> 4000 System (clinical chemistry analyzer)	ARCHITECT <i>c</i> 8000 System (clinical chemistry analyzer)
Levels	Same as predicate device.	2 levels (Low and High Control) Lyophilized Assignment of values is specific for each lot.
Material	Same as predicate device.	Controls are prepared using hemoglobin and glycated hemoglobin from human whole blood. Prior to lyophilization, the matrix used is MES buffered solution.

## 7. Summary of Nonclinical Performance

### Within-Laboratory Precision (20-Day)

A 20-day precision study was conducted to evaluate the precision performance of the Hemoglobin A1c assay based on guidance from the Clinical and Laboratory Standards Institute (CLSI) document EP5-A2.

Testing was performed using 3 lots of Hemoglobin A1c Reagents, 3 lots of Hemoglobin A1c Calibrators, 1 lot of Hemoglobin A1c Controls (Low and High), and 1 lot of commercially available controls (Control Levels 1, 2, and 3) on 3 ARCHITECT *c* 4000 instruments. The calibration curve generated for each reagent lot was stored on each instrument for the duration of the study. Three levels each of human whole blood controls and human whole blood panels were tested a minimum of 2 replicates, twice per day (separated by a minimum of 2 hours), for a total of 20 testing days. The results for all replicates were reported in both NGSP and IFCC units.

NGSP:

The total imprecision (within-run, between-run, and between-day) by instrument system and reagent lot was as follows for each application:

#### *Whole Blood*

- 0.02 to 0.04 SD for Control Level 1
- 0.3 to 0.4 %CV for Control Level 2
- 0.4 to 0.5 %CV for Control Level 3\*
- 0.02 to 0.03 SD for the panel targeted near 4.0 %HbA1c
- 0.3 to 0.4 %CV for the panel with a target range of 6.0 – 7.0 %HbA1c
- 0.3 %CV for the panel with a target range of 8.0 – 10.0 %HbA1c

**\*Note:** The Control Level 3 data were not included in the draft reagent package insert because the concentration value for Control Level 3 was above the upper limit of the measuring interval.

### *Hemolysate*

- 0.01 to 0.02 SD for the Hemoglobin A1c Low Control
- 0.2 to 0.3 %CV for the Hemoglobin A1c High Control
- 0.3 to 0.5 %CV for Control Level 3
- 0.01 to 0.03 SD for the panel targeted near 4.0 %HbA1c
- 0.3 to 0.5 %CV for the panel with a target range of 6.0 – 7.0 %HbA1c
- 0.3 to 0.4 %CV for the panel with a target range of 8.0 – 10.0 %HbA1c

### Limit of Blank (LoB) and Detection (LoD)

A Limit of Blank (LoB)/Limit of Detection (LoD) study was performed based on guidance from the CLSI document EP17-A.

The zero-level samples were tested in a minimum of 3 replicates and the low-level samples were tested in a minimum of 2 replicates. Five separate runs were performed over a minimum of three days using 2 lots of Hemoglobin A1c Reagents, 2 lots of Hemoglobin A1c Calibrators, and 1 lot of commercially available controls on 2 ARCHITECT c 4000 instruments. Each reagent and calibrator lot was tested on each instrument.

The Hemoglobin A1c LoB result is 2.51 %HbA1c (3.89 mmol/mol) and LoD result is 2.52 %HbA1c (4.05 mmol/mol).

### Interferences: Endogenous Substances

A study was performed based on guidance from the CLSI document EP7-A2.

Interference effects were assessed by comparing test samples containing potentially interfering endogenous substances to reference samples.

The test and reference samples were tested in a minimum of 12 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT *c* 4000 instrument. The results were reported in both NGSP and IFCC units.

The Hemoglobin A1c assay had a difference within  $\pm 5\%$  for samples  $\geq 5.7\%$  HbA1c. The Hemoglobin A1c assay is not susceptible to interference effects from the following endogenous substances and high test levels:

<b>Endogenous Substance Name</b>	<b>Highest Test Level At Which No Significant Interference Was Observed</b>
Ascorbic Acid	3.0 mg/dL
Conjugated Bilirubin	20.0 mg/dL
Glucose	1000 mg/dL
Triglycerides	3000 mg/dL
Total Protein *	22 g/dL
Unconjugated Bilirubin	15.0 mg/dL
Urea	667 mg/dL
Vitamin E	8.6 mg/dL

\* The total protein concentration of 22 g/dL includes serum protein as well as hemoglobin.

### Interferences: Hemoglobin Variants

A study was performed based on guidance from the CLSI document EP7-A2.

The samples were tested using 1 lot of Hemoglobin A1c Reagents and Calibrators, 1 lot of Hemoglobin A1c Controls (for the hemolysate application), and 1 lot of commercially available controls (for the whole blood application) on 1 ARCHITECT c 4000 instrument. Interference effects were assessed by comparing the Hemoglobin A1c values to reference/expected values for samples containing potentially interfering hemoglobin variants. The results were reported in both NGSP and IFCC units. No significant interference was observed for the HbC, HbD, HbE, HbS, and HbA2 variants at the levels summarized in the tables below (NGSP units).

<b>Hemoglobin Variant</b>	<b>n</b>	<b>Range in % Abnormal Variant</b>	<b>Range in %HbA1c Concentration</b>
HbC	43	27 – 43	4.7 – 13.6
HbD	40	34 – 43	4.7 – 8.8
HbE	50	14 – 32	4.7 – 11.8
HbS	31	18 – 42	4.6 – 13.5
HbA2	24	4.1 – 6.1	4.6 – 11.7
HbF	28	3 – 33	4.2 – 7.9



Hemoglobin Variant	Relative % Difference from Reference Concentration			
	~6.0 %HbA1c (5.5 to 6.5 %HbA1c)		~9.0 %HbA1c (7.5 to 10.5 %HbA1c) <sup>a</sup>	
	Relative % Difference	Range <sup>b</sup>	Relative % Difference	Range <sup>b</sup>
HbC	-3.1	-6.9, 3.3	-0.5	-4.2, 2.7
HbD	0.6	-3.4, 3.2	0.2	-1.3, 2.6
HbE	1.0	-3.3, 7.8	2.5	-2.1, 6.3
HbS	-0.8	-3.6, 3.3	-0.5	-3.8, 2.2
HbA2	0.7	0.0, 1.7	2.9	1.4, 4.5
HbF	Difference exceeds -5% when the amount of HbF in the sample exceeds 5% <sup>c</sup>			

a The HbA2 results at ~9.0 %HbA1c consisted of samples between 7.2 to 11.2 %HbA1c.

b The range is defined as the minimum and maximum relative % difference at each concentration level (~6.0 and ~9.0 %HbA1c).

c A negative % difference with HbF is proportional in magnitude to the % HbF present in the sample. For example, when the amount of HbF in the sample was 20.4%, the % difference was -20.0% on the ARCHITECT *c* 4000 System. Refer to the LIMITATIONS OF THE PROCEDURE section of this package insert for further information.

**NOTE:** The presence of multiple variants in a sample may impact the % difference.

For HbF interference, the device has the following prominent boxed warning:

The Hemoglobin A1c assay has significant interference with the fetal hemoglobin (HbF). Hemoglobin A1c results are invalid for patients with abnormal amounts of HbF, including those with known Hereditary Persistence of Fetal Hemoglobin.

### Interferences: Drugs

A study was performed based on guidance from the CLSI document EP7-A2.

Interference effects were assessed by comparing test samples containing potentially interfering drugs to reference samples.

The test and reference samples were tested in a minimum of 12 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT *c* 4000 instrument. The results were reported in both NGSP and IFCC units.

The Hemoglobin A1c assay had a difference within  $\pm 5\%$  for samples  $\geq 5.7\%$  HbA1c.

The Hemoglobin A1c assay is not susceptible to interference effects from the following potentially interfering drugs and high test levels:

<b>Drug Name</b>	<b>Highest Test Level At Which No Significant Interference Was Observed</b>
Acarbose	$\leq 50$ mg/dL
Acetaminophen	$\leq 200$ $\mu$ g/mL
Acetylsalicylate	$\leq 50.8$ mg/dL
Atorvastatin	$\leq 0.06$ mg/dL
Captopril	$\leq 0.5$ mg/dL
Chlorpropamide	$\leq 74.7$ mg/dL
Cyanate	$\leq 50$ mg/dL
Furosemide	$\leq 6.0$ mg/dL
Gemfibrozil	$\leq 7.5$ mg/dL
Ibuprofen	$\leq 50$ mg/dL
Insulin	$\leq 450$ micro units per mL
Losartan	$\leq 5$ mg/dL
Metformin	$\leq 5.1$ mg/dL
Nicotinic Acid	$\leq 61$ mg/dL
Propranolol	$\leq 0.2$ mg/dL
Repaglinide	$\leq 0.006$ mg/dL

#### Interferences: Rheumatoid Factor

A study was performed based on guidance from the CLSI document EP7-A2.

Interference effects were assessed by comparing test samples containing rheumatoid factor to reference samples.

Each sample was tested in a minimum of 12 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT c 4000 instrument. The results were reported in both NGSP and IFCC units.

The Hemoglobin A1c assay had a difference within  $\pm 5\%$  for samples  $\geq 5.7\%$  HbA1c. The Hemoglobin A1c assay is not susceptible to interference effects from RF less than or equal to 200 IU/mL.

#### Interferences: Hemoglobin Derivatives

A study was performed based on guidance from the CLSI document EP7-A2.

Interference effects were assessed by comparing test samples containing the following concentrations of potentially interfering hemoglobin derivatives to reference samples:

- Acetylated Hemoglobin with  $\geq 50$  mg/dL of ASA (aspirin)
- Carbamylated Hemoglobin with  $\geq 10$  mmol/L of Cyanate
- Labile Hemoglobin with  $\geq 1000$  mg/dL of Glucose

The test and reference samples were tested in a minimum of 12 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT c 4000 instrument. The results were reported in both NGSP and IFCC units.

The Hemoglobin A1c assay had a difference within  $\pm 5\%$  for samples  $\geq 5.7\%$  HbA1c. The Hemoglobin A1c assay is not susceptible to interference effects from acetylated hemoglobin, carbamylated hemoglobin, or labile hemoglobin.

### Matrix Comparison

A matrix comparison study was performed to evaluate the blood collection tube types that are suitable for use with the Hemoglobin A1c assay. Specimens with concentration values spanning the measuring interval of the assay were collected from a minimum of 43 different donors in the control tube type (dipotassium EDTA, plastic) and the blood collection tubes under evaluation. The blood collection tubes collected from one individual constituted one sample set.

Each sample was tested in a minimum of 2 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT *c* 4000 instrument.

The results support the use of the following blood collection tube types with the Hemoglobin A1c assay:

- Dipotassium EDTA, plastic
- Lithium heparin, plastic
- Sodium heparin, plastic
- Sodium Fluoride/Disodium EDTA, plastic
- Tripotassium EDTA, plastic

### Linearity

A linearity study was performed based on guidance from the CLSI document EP6-A.

Commercially available linearity sets, comprised of Levels 1, 2, 3, and 4, were obtained. Five additional samples were prepared by combining the 4 levels of the commercially available linearity sets in specific ratios. The 9 samples were tested using the Hemoglobin A1c assay. The 9 samples were tested in a minimum of 2 replicates using 1 lot of Hemoglobin A1c Reagents and Calibrators and 1 lot of commercially available controls on 1 ARCHITECT c 4000 instrument. All samples were tested as a set within a single run. The results were reported in both NGSP and IFCC units.

#### NGSP:

There was no deviation from linearity for samples ranging from 2.8 to 18.1 %HbA1c. The linearity regression analysis results in NGSP units are provided in the table below:

<b>Correlation Coefficient</b>	<b>Intercept</b>	<b>Slope</b>	<b>r2</b>
0.9996	-0.40	0.9709	0.999

#### IFCC:

There was no deviation from linearity for samples ranging from 15.31 to 174.68 mmol/mol. The linearity regression analysis results in IFCC units are provided in the table below:

<b>Correlation Coefficient</b>	<b>Intercept</b>	<b>Slope</b>	<b>r2</b>
0.9997	-6.17	0.9831	0.999

### Method Comparison and Predicted Bias

To demonstrate the assay effectiveness as an aid in the diagnosis of diabetes mellitus, to identify patients who may be at risk for developing diabetes mellitus, and for the monitoring of long-term blood glucose control in individuals with diabetes mellitus, a method comparison study was performed using an NGSP secondary reference laboratory method (Tosoh HPLC analyzer) as the comparator (reference) method.

The study was performed based on guidance from the CLSI document EP9-A2-IR. A minimum of 120 human whole blood specimens were evaluated with the Hemoglobin A1c assay and the reference method.

For the Hemoglobin A1c assay, the specimens were tested internally in replicates of 2 using 2 lots each of Hemoglobin A1c Reagents and Calibrators, 1 lot of Hemoglobin A1c Controls (for the hemolysate application), and 1 lot of commercially available controls (for the whole blood application) on 2 ARCHITECT *c* 4000 instruments.

The specimens were also tested with an NGSP secondary reference laboratory method in replicates of 2. The specimens were tested over a minimum of 5 days. The results were reported in both NGSP and IFCC units.

NGSP:

#### *Method Comparison*

- For the Hemolysate application, the Deming regression slope was 1.00 and the correlation coefficient (r-value) was 0.996 for samples across the measuring interval when comparing the Hemoglobin A1c to the reference method.
- For the Whole Blood application, the Deming regression slope was 1.01 and the correlation coefficient (r-value) was 0.995 for samples across the measuring interval when comparing the Hemoglobin A1c to the reference method.

#### *Predicted Bias*

The predicted bias from the regression ranged from -2.4% to -0.7% for the Hemolysate application and -3.0% to -0.6% for the Whole Blood application at 5%, 6.5%, 8%, and 12 %HbA1c.

### *ATD Zone*

- For the Hemolysate application, the percentage of observations in the ATD zone was 100.0 (128/128) and the lower limit of the two-sided 95% CI was 97.1%.
- For the Whole Blood application, the percentage of observations in the ATD zone was 100.0 (128/128) and the lower limit of the two-sided 95% CI was 97.1%.

### *IFCC:*

#### *Method Comparison*

- For the Hemolysate application, the Deming regression slope was 0.98 and the correlation coefficient (r-value) was 0.996 for samples across the measuring interval when comparing the Hemoglobin A1c to the reference method.
- For the Whole Blood application, the Deming regression slope was 1.00 and the correlation coefficient (r-value) was 0.996 for samples across the measuring interval when comparing the Hemoglobin A1c to the reference method.

#### *Predicted Bias*

The predicted bias from the regression ranged from -4.3% to -0.5% for the Hemolysate application and -5.1% to -0.1% for the Whole Blood application at 31.13, 47.53, 63.93, and 107.65 mmol/mol.

### *ATD Zone*

- For the Hemolysate application, the percentage of observations in the ATD zone was 96.9 (124/128) and the lower limit of the two-sided 95% CI was 92.2%.
- For the Whole Blood application, the percentage of observations in the ATD zone was 96.9 (124/128) and the lower limit of the two-sided 95% CI was 92.2%.

### *Total Error Near the Cutoff*

Using the results of bias estimation (% Bias) in the method comparison study and precision estimates in the reproducibility study, the Total Error (TE) at four %HbA1c levels (approximately 5.0%, 6.5%, 8.0%, and 12.0%) was calculated as follows:

$$\%TE = |\%Bias| + 1.96 \times \%CV \times (1 + \%Bias)$$

The results are presented in the tables below.

#### **% Total Error Summary – Hemolysate (NGSP)**

<b>%HbA1c Level</b>	<b>Average % Bias</b>	<b>% CV</b>	<b>% TE</b>
5.0	-2.2	0.6	3.4
6.5	-1.6	0.9	3.3
8.0	-1.3	0.8	2.8
12.0	-0.8	0.9	2.5

#### **% Total Error Summary – Whole Blood (NGSP)**

<b>%HbA1c Level</b>	<b>Average % Bias</b>	<b>% CV</b>	<b>% TE</b>
5.0	-2.5	0.6	3.6
6.5	-1.8	0.7	3.1
8.0	-1.3	0.6	2.5
12.0	-0.7	0.6	1.9

### Measuring Interval

The measuring interval of the Hemoglobin A1c assay is 4.0 to 14.0 %HbA1c (20.22 to 129.51 mmol/mol HbA1c). The limits of the measuring interval were demonstrated through the results of the Within-Laboratory Precision, Tube Type, Linearity, and Method Comparison studies.



### Method Comparison to Predicate Device

The study was performed based on guidance from the CLSI document EP9-A2-IR.

A minimum of 120 human whole blood specimens were evaluated with the Hemoglobin A1c assay. The specimens were tested in replicates of 2 using 1 lot each of Hemoglobin A1c Reagents, Calibrators, and Controls (for the hemolysate application), and 1 lot of commercially available controls (for the whole blood application) on 2 ARCHITECT c 4000 and 2 ARCHITECT c 8000 instruments. The results were reported in both NGSP and IFCC units.

NGSP:

#### *First Replicate versus First Replicate Regression Analysis*

- For the Hemolysate application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 0.999.
- For the Whole Blood application, the Deming regression slope was 1.00 and the correlation coefficient (r-value) was 0.999.

#### *Mean versus Mean Regression Analysis*

- For the Hemolysate application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 0.999.
- For the Whole Blood application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 0.999.

IFCC:

#### *First Replicate versus First Replicate Regression Analysis*

- For the Hemolysate application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 1.000.
- For the Whole Blood application, the Deming regression slope was 1.00 and the correlation coefficient (r-value) was 1.000.

#### *Mean versus Mean Regression Analysis*

- For the Hemolysate application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 1.000.
- For the Whole Blood application, the Deming regression slope was 0.99 and the correlation coefficient (r-value) was 0.999.

### Automated Lyse (Whole Blood Application) versus Manual Lyse Methods (Hemolysate Application)

Whole blood specimens are lysed automatically on the ARCHITECT *c* 4000 instrument with the Whole Blood application or may be lysed manually using the Hemoglobin A1c Diluent with the Hemolysate application. The performance of the Hemoglobin A1c automated lyse method versus the manual lyse method was evaluated by testing whole blood specimens using both applications (*i.e.*, Whole Blood and Hemolysate) in the Within-Laboratory Precision and the Method Comparison studies. The results from these studies met the study evaluation criteria and therefore demonstrated acceptable automated and manual lyse methods.

## **8. Conclusion**

The data presented in this premarket notification demonstrates that the Hemoglobin A1c assay performs substantially equivalent to the predicate device, the ARCHITECT Hemoglobin A1c assay (k130255). Correlation was demonstrated by comparison to a National Glycohemoglobin Standard Program (NGSP) secondary reference laboratory method and by comparison to the predicate device (Hemoglobin A1c on the ARCHITECT *c* 8000 System).